



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

C. Thomas HENDRICKSON et al.

Group Art Unit: 3629

Application No.: 10/719,741

Examiner: Unassigned

Filing Date: November 21, 2003

Confirmation No.: 3150

Title: Methods and System for Insuring Landscape Architectural Objects

**FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIRST Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

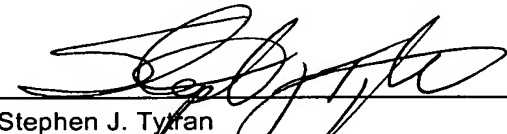
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
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Date: March 26, 2004

By

  
Stephen J. Tylan  
Registration No. 45,846

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope Addressed to the Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on March 26, 2004

Kathryn L. Boyd  
(Typed or printed name of person signing the certificate)

  
(Signature of person signing certificate)

March 26, 2004  
(Date of signing)

**BURNS DOANE**

BURNS DOANE SWECKER & MATHIS LLP  
INTELLECTUAL PROPERTY LAW

**FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

(1/04)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

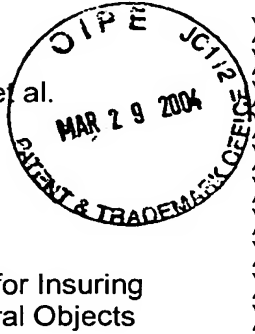
In re Patent Application of

C. Thomas HENDRICKSON et al.

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For: Methods and System for Insuring  
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Group Art Unit: 3629

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**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.


To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date March 26, 2004

By:

  
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# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number

Unassigned

Filing Date

November 20, 2003

First Named Inventor

C. Thomas HENDRICKSON et al.

Examiner Name

Unassigned

Attorney Docket Number

033960-003

## **U.S. PATENT DOCUMENTS**

| Examiner Initials | Document Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date (MM-DD-YYYY) |
|-------------------|-----------------|----------------------|---|-------------------------------------|
|                   | 4,766,539       |                      | Fox   | 08-23-1988                          |
|                   | 5,897,619       |                      | Hargrove, Jr. et al.                            | 04-27-1999                          |
|                   | 6,018,714       |                      | Risen, Jr. et al.                               | 01-25-2000                          |
|                   | 6,338,040       | B1                   | Buman et al.                                    | 01-08-2002                          |
|                   | 2002/0077870    | A1                   | Wilkinson                                       | 06-20-2002                          |
|                   | 2003/0023462    | A1                   | Heilizer  | 01-30-2003                          |
|                   | 2003/0061075    | A1                   | Heckman et al.                                  | 03-27-2003                          |
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|                   |                 |                      |   |                                     |
|                   |                 |                      |   |                                     |
|                   |                 |                      |   |                                     |
|                   |                 |                      |   |                                     |

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Document Number | Kind Code (if known) | Country | Date of Publication (MM-DD-YYYY) | Translation |    |
|-------------------|-----------------|----------------------|---------|----------------------------------|-------------|----|
|                   |                 |                      |         |                                  | Yes         | No |
|                   | 4-145580        |                      | JP      | 05/19/1992                       | ABS         |    |
|                   |                 |                      |         |                                  |             |    |
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|                   |                 |                      |         |                                  |             |    |
|                   |                 |                      |         |                                  |             |    |
|                   |                 |                      |         |                                  |             |    |

## **NON-PATENT LITERATURE DOCUMENTS**

| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|-------------------|---|
|                   | Representatives to the Council of Tree & Landscape Appraisers, "Guide for Plant Appraisal – 9 <sup>th</sup> Edition"; 2000.   |
|                   | "Homeowners insurance covers landscaping and plants", Insure.com; < <a href="http://info.insure.com/home/landscaping.html">http://info.insure.com/home/landscaping.html</a> >; October 2, 2003  |
|                   |   |
|                   |   |
|                   |   |
|                   |   |

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.